

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
WD2-98-120

Total Pages in this Submission  
129

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A PRODUCT PURCHASED USING A COMMUNICATION NETWORK**

and invented by:

Jay S. WALKER, Jonothan OTTO, Andrew VAN LUCHENE, Magdalena MIK, Daniel E. TEDESCO, Ian LEVITAN

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: See Attached Sheet

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 77 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal      b. ☐ Informal      Number of Sheets 37
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy)      ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EL080834111US

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1 (unexecuted)
17. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	102	- 20 =	82	x \$9.00	\$738.00
Indep. Claims	13	- 3 =	10	x \$39.00	\$390.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$380.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,508.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,508.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 7, 1999



Signature

Dean Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Intellectual Property Department  
One High Ridge Road  
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(203) 905-6238

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Jay S. WALKER et al.

Docket No.

WD2-98-120

Serial No.

Not Yet Assigned

Filing Date

July 7, 1999

Examiner

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Invention:

SYSTEMS AND METHODS WHEREIN A BUYER TAKES POSSESSION AT A RETAILER OF A  
PRODUCT  
PURCHASED USING A COMMUNICATION NETWORK

I hereby certify that this CONTINUATION-IN-PART (CIP) PATENT APPLICATION*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
7/July/1999

*(Date)*Amy E. Sauter*(Typed or Printed Name of Person Mailing Correspondence)*Amy E. Sauter*(Signature of Person Mailing Correspondence)*EL080834111US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Attorney Docket No.: WD2-98-120

Applicant: Jay S. WALKER et al.

Title: SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER  
TAKES POSSESSION AT A RETAILER OF A PRODUCT  
PURCHASED USING A COMMUNICATION NETWORK

(Not Yet Assigned)      Filed: June 22, 1999      Status: Pending

08/889,503      Filed: July 8, 1997      Status: Pending

08/889,319      Filed: July 8, 1997      Status: Pending

09/190,744      Filed: November 11, 1998      Status: Pending

5,794,207      Filed: September 4, 1996      Status: Patented

09/083,345      Filed: May 22, 1998      Status: Pending

Attorney Docket No.: WD2-98-120

Applicant: Jay S. WALKER et al.

Title: SETTLEMENT SYSTEMS AND METHODS WHEREIN A BUYER  
TAKES POSSESSION AT A RETAILER OF A PRODUCT  
PURCHASED USING A COMMUNICATION NETWORK

The above mentioned application is a:

Continuation-in-part (CIP) of prior application No.: Not Yet Assigned

Which is a Continuation-in-part (CIP) of prior application No.: 08/889,503

And of prior application No.: 08/889,319

And of prior application No.: 09/190,744

Which is a Continuation-in-part (CIP) of prior application No.: 5,794,207.

And of prior application No.: 09/083,345